

**TOWN OF GUTTENBERG REQUEST FOR QUALIFICATIONS  
FROM CERTIFIED PUBLIC ACCOUNTING FIRMS INTERESTED IN  
SERVING AS MUNICIPAL AUDITOR TO THE TOWN OF GUTTENBERG  
FOR THE PERIOD OF JANUARY 1, 2015 TO DECEMBER 31, 2015**

**Introduction**

The Town of Guttenberg (“Town”) is a municipality of Hudson County, New Jersey . Pursuant to N.J.S.A. 19:44A-20.5 et seq, the Town seeks Requests for Qualifications (“RFQ”) from certified public accounting firms that wish to provide municipal auditing services to the Town as directed by the Town Administrator or other appropriate officials within the Town. The successful firm must have significant experience in representing New Jersey municipalities in all aspects of municipal auditing.

The successful firm will provide the Town with financial auditing services including but not limited to the audit of financial statements of the Town in the presentment of a complete audit of the Town of Guttenberg. This audit will give an opinion as to whether the Town’s financial statements are fairly presented in conformity with the accounting principals and practices prescribed by the Division of Local Government Services, Department of Community Affairs, State of New Jersey. The audit will be conducted with generally accepted standard auditing practices. The audit should include but not limited to tests of accounting records of the Town and other procedures utilized by the various departments. Finally, the audit will provide reports on internal controls related to the financial statements of the various departments of the Town to ensure they are in compliance with all the laws and regulations and other provisions or grant agreements.

All necessary documents can be downloaded from the official Guttenberg website, [www.guttenbergnj.org](http://www.guttenbergnj.org)

**Professional Information and Qualifications**

1. Name of Firm;
2. Address of principal place of business and all other offices and corresponding telephone and fax numbers. Please note specifically which certified public accountants will be assigned to work with the Town.
3. Description of firm’s accountants education, experience, qualifications, number of years with the firm and a description of their experience as municipal auditors.
4. Experience related to providing accounting services to public entities;
5. At least four (4) references, three (3) of which must have knowledge of your service to public entities;
6. Examples of your record of success (or significant achievements) servicing public entities;

7. The firm's ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff);
8. Cost details, including the hourly rates of each of the individuals who will perform the services and all expenses.
9. Any other information which the interested firm deems relevant.
10. New Jersey Business Registration Certificate.
11. Fully executed Non-Collusion Affidavit which is attached.
12. Fully executed Disclosure of Ownership form which is attached.
13. Mandatory Equal Employment Opportunity Language (if applicable).

### **Section Criteria**

The selection criteria used in awarding a contract or agreement for professional services as described herein shall include:

1. Qualifications of the individuals who will perform the tasks and the amounts of their respective participation;
2. Experience and references;
3. Ability to perform the task in a timely fashion, including staffing and familiarity with the subject matter;
4. Cost competitiveness; and
5. Other factors, if demonstrated to be in the best interest of the Town.

### **Submission Requirements**

RFQ must be delivered no later than November 21, 2014 at 2:00 p.m. to:

Alberto Cabrera, Town Clerk  
Office of the Town Clerk  
Guttenberg Town Hall  
6808 Park Avenue  
Guttenberg, New Jersey 07093

Please submit one original and seven (7) copies to the RFQ. Use white 8 ½ x 11 paper. Please also deliver one (1) copy to the office of Charles P. Daglian, attorney for the Town of Guttenberg

at 34 Jones Street, Jersey City, New Jersey 07306.

**TOWN OF GUTTENBERG**

**DISCLOSURE OF OWNERSHIP FORM**

N.J.S.A. 52:25-24.2 reads in part that “no corporation or partnership shall be awarded any contract by the State, County, Municipality or School District, or any subsidiary or agency thereof, unless prior to the receipt of the submission of the corporation or partnership, there is provided to the public contracting unit a statement setting forth the names and addresses of all individuals who own 10% or more of the stock or interest in the corporation or partnership”.

1. If the professional service entity is a *partnership*, then the statement shall set forth the names and addresses of all partners who own a 10% or greater interest in the partnership.
2. If the professional service entity is a *corporation*, then the statement shall set forth the names and addresses of all stockholders in the corporation who own 10% or mor of its stock of any class.
3. If a corporation owns all or part of the stock of the corporation or partnership providing the submission, then the statement shall include a list of the stockholders who own 10% or more of the stock of any class of that corporation.
4. If the professional service entity is other than a corporation or partnership, the contractor shall indicate the form of corporate ownership as listed below.

**COMPLETE ON THE FOLLOWING STATEMENTS:**

1. Stockholders or Partners owning 10% or more of the company providing the submission:

Name:

Address:

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SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

**II. No Stockholder or Partner owns 10% of more of the company providing this submission:**

**SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**III. Submission is being provided by an individual who operates as a sole proprietorship:**

**SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**IV. Submission is being provided by a corporation or partnership that operates as a (check one of the following):**

\_\_\_\_\_ **Limited Partnership**                      \_\_\_\_\_ **Limited Liability Corporation**

\_\_\_\_\_ **Limited Liability Partnership**                      \_\_\_\_\_ **Subchapter S Corporation**

**SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**TOWN OF GUTTENBERG**

**NON-COLLUSION AFFIDAVIT**

STATE OF NEW JERSEY :  
: SS.  
COUNTY OF HUDSON :

I, \_\_\_\_\_ of the \_\_\_\_\_  
of \_\_\_\_\_, in the County of \_\_\_\_\_ and  
the State of New Jersey, of full age, being duly sworn according to law on my oath depose and  
say that:

I am \_\_\_\_\_

of the firm of \_\_\_\_\_  
the Professional Service Entity making the submission for the above named Service, and that I  
executed the said submission with full authority to do so; that the Professional Service Entity  
has not, directly or indirectly, entered into any agreements, participated in any collusion, or  
otherwise taken any action in restraint of fair and open competition in connection with the  
above named Service; and that all statements contained in said submission and in this affidavit  
are true and correct, and made with full knowledge that the Town of Guttenberg relies upon  
the truth of the statements contained in said submission and in the statements contained in this  
affidavit in awarding the contract for said Service.

I am further warrant that no person or selling agency has been employed or retained to solicit  
or secure such contract upon an agreement or understanding for a commission, percentage,  
brokerage or contingent fee.

Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 2014

\_\_\_\_\_  
Notary Public  
State of \_\_\_\_\_  
My Commission Expires \_\_\_\_\_

\_\_\_\_\_  
(Signature or Professional)

\_\_\_\_\_  
(Type or print name of Affiant and Title  
under signature)

## EXHIBIT A

### MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE

N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq.

#### GOODS, PROFESSIONAL SERVICES AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Except with respect to affectional or sexual orientation, the contractor will take affirmative action to ensure that such applicants are recruited and employed and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates or pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this non-discrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation or sex.

The contractor or subcontractors, where applicable, will send to each labor union or representative or workers with which it has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer advising the labor union or workers' representative of the contractor's commitments under this act and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor and subcontractor, where applicable, agrees to comply with the regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor and subcontractor agrees to attempt in good faith to employ minority and female workers consistent with the applicable county employment goals established in accordance with N.J.S.A. 17:27-5.2, or a binding determination of the applicable county employment goals determined by the Division, pursuant to N.J.S.A. 17:27-5.2.

**The contractor and subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, labor unions, that it does not discriminate on the basis or age, race, creed, color, national origin, ancestry, martial status, affectional or sexual orientation, or sex, and that it will discontinue to use of any recruitment agency which engages in direct or indirect discriminatory practices.**

**The contractor and subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.**

**The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:**

**Letter of Federal Affirmative Action Plan Approval**

**Certificate of Employee Information Report**

**Employee Information Report Form AA302**

**The contractor and its subcontractor shall furnish such reports or other documents to the Division of Contract Compliance & EEO as may be requested by the Division from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Contract Compliance & EEO for conducting a compliance investigation pursuant to Subchapter 10 of the Administrative Code at N.J.A.C. 17:27.**